JC03 Rec'd PCT/PTO 1 1 OCT 2009

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER 2271/503/55255			
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		
	FIONAL APPLICATION NO. JP2004/007458	INTERNATIONAL FILING DATE 25 May 2004	PRIORITY DATE CLAIMED 30 May 2003		
TITLE OF INVENTION IMAGE FORMING APPARATUS					
APPLICANT(S) FOR DO/EO/US					
Tetsuyoshi NAKATA, Katsumi KOBAYASHI, Takashi KOKAWAJI and Tomohiro SHUUTA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2. 🗆 1	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. 🔲 -	The US has been elected (Article 31).				
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
	a. x is attached hereto (required only if not communicated by the International Bureau).				
	b. has been communicated by the International Bureau.				
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).				
6	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
	a. is attached hereto.				
·	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (required only if not communicated by the International Bureau).				
,	b. have been communicated by the International Bureau.				
	c. have not been made; however, the time limit for making such amendments has NOT expired.				
	d. have not been made and will not be made.				
8	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document(s) or information included:					
11. 🗴	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.			
12. <u>x</u>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.				
14.	An Application Data Sheet under 37 CFR 1.76.				
15.	A substitute specification.				
16. X	A power of attorney and/or change of address letter.				
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19.	A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).		
i					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, 590 87 GFR (85) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/007458 2271/75303 Other items or information: See attached Page 4. 20. The following fees have been submitted CALCULATIONS PTO USE ONLY \$300.00 Basic national fee (37 CFR 1.492(a))......\$300 21. 🛛 Examination fee (37 CFR 1.492(c)) 22. K \$200.00 If the written opinion prepared by ISA/US or the international preliminary examination report prepared All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 \$500.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an previously communicated to the US by the IB.....\$400 All other situations......\$500 \$1,000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 250.00 136 - 100 = 36/50 =x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA CLAIMS NUMBER FILED RATE \$ 44 Total claims -20 =x \$50 \$1,200.00 24 3 Independent claims 6 \$200 - 3 = 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$0 \$360 TOTAL OF ABOVE CALCULATIONS = \$3,050.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$3,050.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$3,090.00 Amount to be \$ refunded: Amount to be \$ charged

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a. x	A check in the amount of \$ 3,090.00	to cover the above fees	is enclosed.		
b. 🗆	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.		
c. X	The Commissioner is hereby authorized to charge Account No. $\underline{03-3125}$. A duplicate copy of this	any additional fees which may is sheet is enclosed.	be required, or credit any overpayment to Deposit		
d. 🗀	Fees are to be charged to a credit card. WARNING : Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND A	LL CORRESPONDENCE TO:	-	tand Pana		
Customer Number 23432 Ivan S. Kavrukov, Esq.			SIGNATURE Paul Teng		
	oper & Dunham LLP 35 Avenue of the Americas		NAME 40,837		
	York, New York 10036 .: 212-278-0400		REGISTRATION NUMBER		
		· ·			

10/553258 JC06 Rec'd PCT/PTO 11 OCT 2005

Dkt. 2271/75303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Tetsuyoshi NAKATA, Katsumi KOBAYASHI, Takashi KOKAWAJI

and Tomohiro SHUUTA

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2004/007458

Date Filed

Concurrently Herewith

Int'l Filing Date: 25 May 2004

For: IMAGE FORMING APPARATUS

Page 4 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

20. Other items or information:

Copies of the following--

- cover page of PCT Publication No. WO 2004/106075 A1;
- PCT Request;
- Notification Concerning Submission Or Transmittal Of Priority Document;
- Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration;
- Written Opinion Of The International Searching Authority; and
- First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Articles 22(1)].

Dkt. 2271/75303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Tetsuyoshi NAKATA, Katsumi KOBAYASHI, Takashi KOKAWAJI

and Tomohiro SHUUTA

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Int'l Filing Date: 25 May 2004

For: IMAGE FORMING APPARATUS

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV553660984US

Date of Deposit: October 11, 2005

I hereby certify that the above-identified new application, consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 98-page specification with claims 1-44, Abstract and 38 sheets of formal drawings (Figs. 1-44)], Declaration And Power Of Attorney (4 pages), Assignment and Recordation Cover Sheet (4 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2004/106075 A1, PCT Request, Notification Concerning Submission Or Transmittal Of Priority Document, Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration, Written Opinion Of The International Searching Authority, First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Articles 22(1)], a check in the amount of \$3,090.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, NA 22313-1450, ATTN: DO/EO/L

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicants: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 Tel: (212) 278-0400